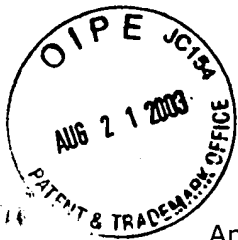


MTS-3263US

PATENT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 25 2003

Technology Center 2600

Appln. No: 09/869,420
Applicant: Y. Ayaki
Filed: June 27, 2001
Title: DATA RECORDING/REPRODUCING APPARATUS AND PROGRAM
RECORDING MEDIUM
TC/A.U.: 2615
Confirmation: 2673
Examiner: To Be Assigned
Docket No.: MTS-3263US

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 06-250682

- 2) 08167275
- 3) 09-034755
- 4) 09-160814
- 5) 09-213006
- 6) 10-222404
- 7) 10-241294
- 8) 10-260873
- 9) 11-066694
- 10) 11-213634

References 1) to 10) include an English language abstract.

Also, References 1) to 10) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. Further, enclosed is an English translation of the search report to explain the special category definitions of the Japanese language search report. MPEP Section 609 IIIA(3), second paragraph.

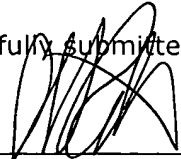
No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

STATEMENT

The undersigned hereby states that each item of information disclosed in the Supplemental Information Disclosure Statement was first cited in

any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,


Allan Ratner, Reg. No. 19,717
Attorney for Applicants

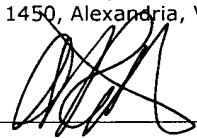
AR/fp
Enclosures: PTO Form 1449
(#11) References
Transmittal Form

Dated: August 19, 2003

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

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August 19, 2003

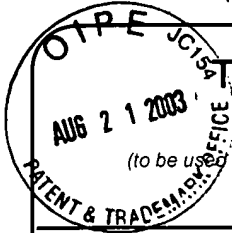
2615

PTO/SB/21 (AW)(03-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 10 + 11 refs.

Application Number **09/869,420**
Filing Date **June 27, 2001**
First Named Inventor **Y. Ayaki**
Art Unit **2615**
Examiner Name **To Be Assigned**
Attorney Docket No. **MTS-3263US**

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ENCLOSURES (Check all that apply)


- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
PTO-1449, 11 references, European Search Report and return postcard

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual **Allan Ratner** 
Registration No. (Attorney/Agent) **19,717**
Signature _____
Date **August 19, 2003**

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: **August 19, 2003**

Name (Print/Type) **Allan Ratner** 
Signature _____ Date **August 19, 2003**

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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(AW 08/03)

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number 09/869,420

Filing Date June 27, 2001

First Named Inventor Y. Ayaki

Art Unit 2615

Examiner Name To Be Assigned

Attorney Docket No. MTS-3263US

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Technology Center 2600

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US 4,893,193 A	01/09/90	SUZUKI TADAO et al.	Entire document.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		JP 06-250682 A (with English abstract)	09/09/94	YAMAHA CORP	Entire document.	<input type="checkbox"/>
		JP 08-167275 A (with English abstract)	06/25/96	SONY CORP	Entire document.	<input type="checkbox"/>
		JP 09-034755 A (with English abstract)	02/07/97	EE I SOFT KK	Entire document.	<input type="checkbox"/>
		JP 09-160814 A (with English abstract)	06/20/97	FUJITSU LTD	Entire document.	<input type="checkbox"/>
		JP 09-213006 A (with English abstract)	08/15/97	CANON INC	Entire document.	<input type="checkbox"/>
		JP 10-222404 A (with English abstract)	08/21/98	SHARP CORP	Entire document.	<input type="checkbox"/>
		JP 10-241294 A (with English abstract)	09/11/98	MITSUBISHI ELECTRIC CORP	Entire document.	<input type="checkbox"/>
		JP 10-260873 A (with English abstract)	09/29/98	TOSHIBA CORP.	Entire document.	<input type="checkbox"/>
		JP 11-066694 A (with English abstract)	03/09/99	HITACHI LTD	Entire document.	<input type="checkbox"/>
		JP 11-213634 A (with English abstract)	08/06/99	SONY CORP	Entire document.	<input type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

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(AW 08/03)

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known

Application Number	09/869,420
Filing Date	June 27, 2001
First Named Inventor	Y. Ayaki
Art Unit	2615
Examiner Name	To Be Assigned Technology Center 2600
Attorney Docket No.	MTS-3263US

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AUG 25 2003

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		European Search Report for EP 00 97 0051, dated July 9, 2003.	<input type="checkbox"/>
			<input type="checkbox"/>
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Examiner Signature	Date Considered
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¹Applicant's unique citation designation number (optional).

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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